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10/766,967	01/28/2004	Kazuo Kayamoto	1924.69310	4313

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EXAMINER

LOVEL, KIMBERLY M

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PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/766,967	Applicant(s) KAYAMOTO	
	Examiner Kimberly Lovel	Art Unit 2167	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 29 May 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-18 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-18 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. Claims 1-18 are rejected.

Continued Examination Under 37 CFR 1.114

2. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 29 May 2007 has been entered.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1 – 5, 7 – 12 and 14 – 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Prompt et al.** (US 2006/0020586) in view of **O'Flaherty et al.** (US 2001/0011247).

4. Regarding claim 1, **Prompt et al.** (hereinafter **Prompt**) teaches a database management apparatus that performs a search of data from a database based on predetermined information selected by a user from among information registered as a dictionary (See page 3, paragraph [0022] "...users can search and/or browse the virtual directory to find the data needed or they can query the directory with simple commands to search for the information needed."), the database management apparatus comprising:

a dictionary registering unit for storing common information on either of or both management and analysis data stored in the database in a common dictionary accessible by the predetermined user and the other users, said dictionary registering unit including a personal dictionary registering unit that registers personal information on either of or both management and analysis of the personal data and the common data stored in the database to a personal dictionary that is accessible only by the predetermined user (See page 16, paragraph [0172]), and

a dictionary reference unit that outputs the personal information to the predetermined user (See page 15, paragraph [0169] "...a user is permitted to select a schema file which has been output from the schema extraction process...the user can provide input information so that the first module modifies the definition of the schema, by having the fourth module create new schema mapping, that is, where the VDS maps database objects such as tables, columns, attributes, and other entities into LDAP object classes and attributes."), and accepts a selection of either or both management and analysis of the personal data and the common data by the predetermined user from

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the personal information (See page 15, paragraph [0171] "Accordingly, the first module accepts user selection of an Object from the corresponding schema previously selected. Furthermore, the user may select attributes to retain for each Object, and may define other restrictions.");

Prompt does not explicitly disclose a personal table storing unit that stores data as personal data [dataviews] in the database storing common data to each user; and
a searching unit that performs a search of data from a database storing the personal data and the common data based on the selection accepted by the dictionary reference unit.

However, **O'Flaherty et al.** (hereinafter **O'Flaherty**) discloses a personal table storing unit that stores data as personal data [dataviews] in the database storing common data to each user (See O'Flaherty page 2, paragraph [0034] ("One important capability of a database management system is the ability to define a virtual table and save that definition in the database as metadata with a user-defined name. The object formed by this operation is known as ...dataviews."); and

a searching unit that performs a search of data from a database storing the personal data and the common data based on the selection accepted by the dictionary reference unit.(See O'Flaherty, page 4 paragraph [0056] "Second, unlike systems which execute SQL queries as a series of selections to narrow the data down to the dataview subset, the TERADATA database management system rewrites dataview-based queries to generate the SQL that selects the necessary columns directly from the appropriate base tables.")

It would have been obvious to one with ordinary skill in the art at the time of the invention to combine the references because both involve using schema and metadata of their respective databases to control access to the data and by including the personal table as disclosed in **O'Flaherty**, the apparatus is capable of being more secure by allowing privacy rules to be enforced over the tables (See **O'Flaherty**, page 2, paragraph [0034]). It is for this reason that one of ordinary skill in the art would have been motivated to include a personal table storing unit that stores data as personal data [dataviews] in the database storing common data to each user; wherein the dictionary includes common information on either or both management and analysis of the data to each user as a common dictionary; and a searching unit that performs a search of data from a database storing the personal data and the common data based on the selection accepted by the dictionary reference unit.

5. Regarding claims 2, 9, and 16, the combination of **Prompt** and **O'Flaherty** **additionally** discloses the personal table storing unit that stores in the database the personal data obtained as a result of the search (See **Prompt** page 15, paragraph [0168] "In FIG. 14a, a user working at a client application selects a relational data source. In response to the selection made, schema extraction of the objects and relationships, is made by the module."), and the personal dictionary registering unit registers the personal information on either of or both management and analysis of the personal data and the common data stored in the database to the personal dictionary that is accessible only by the predetermined user who made a request for the search (See **Prompt** page 15, paragraph [0172] "...a default directory view may be created

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automatically... a schema output as a result of the schema mapping and schema manager modules...can be selected by the user...the directory view is generated...Thereafter, the definition is saved in a directory view file.”)

6. Regarding claims 3, 10, and 17, the combination of **Prompt** and **O’Flaherty** additionally discloses

the personal table storing unit stores, upon the database management apparatus receiving a request to store the personal data having a predetermined file format, the personal data having the predetermined file format to the database (See **Prompt** page 16, paragraph [0176] “One type of configuration that works suitably well with the present invention comprises encoding the captured schema with an Internet markup language like, for example Extensible Mark-up language (XML). Once the schema is formatted with XML, the encoded metadata is then stored in a schema file.” XML was given in the specification as an example of a predetermined file format.), and

the personal dictionary registering unit registers the personal information on either of or both management and analysis of the personal data and the common data stored in the database to the personal dictionary that is accessible only by the predetermined user who made the request (See **Prompt** page 16, paragraph [0176] “For example, the schema file may be stored with an .orx file extension representing the Objects and relationships expressed (e.g. encoded) in XML, primarily for convenience and ease of system administration.” The schema referred to here represents information on management and analysis of the stat stored by the storing unit.)

7. Regarding claims 4 and 11 the combination of **Prompt** and **O'Flaherty** additionally discloses the personal dictionary registering unit registers, upon the database management apparatus receiving a request to register the personal information on a predetermined operation applied to the data of the database, the personal information on the predetermined operation to the personal dictionary that is accessible only by the predetermined user who made the request (See **Prompt** page 7, paragraph [0098] "This requires selecting one or more tables that are stored in a database, and combining the tables using any valid sequence of relational operations to obtain a view." And see **O'Flaherty** page 7, paragraph [0088] "...and of the associated user/user group privileges."), and the dictionary reference unit outputs the personal information to the predetermined user, and accepts the selection of either of or both management and analysis of the personal data and the common data by the predetermined user from the personal information (See **Prompt** page 15, paragraph [0169] "...a user is permitted to select a schema file which has been output from the schema extraction process. As will be illustrated subsequently in the context of a graphical user interface, the user can provide input information so that the first module modifies the definition of the schema...").

8. Regarding claims 5 and 12, the combination of **Prompt** and **O'Flaherty** additionally discloses the personal dictionary registering unit registers, upon the database management apparatus receiving a request to register the personal information on a link between the data of the database, the personal information on the link to the personal dictionary that is accessible only by the predetermined user who

made the request (See **Prompt** page 9, paragraph [0115] "The virtual directory establishes a link between the two types of customer records and aggregates their data without changing the view.... In particular, the link between the two types of customer records is an ad hoc join."), and the dictionary reference unit outputs the personal information to the predetermined user, and accepts the selection of either of or both management and analysis of the personal data and the common data by the predetermined user from the personal information (See **Prompt** page 15, paragraph [0169] "...a user is permitted to select a schema file which has been output from the schema extraction process. As will be illustrated subsequently in the context of a graphical user interface, the user can provide input information so that the first module modifies the definition of the schema...").

9. Regarding claims 7 and 14, the combination of **Prompt** and **O'Flaherty** additionally discloses the personal dictionary registering unit registers, upon the database management apparatus receiving a request to register management point information on either of or both management and analysis of the data stored in the database as the personal information, management point information to the personal dictionary that is accessible only by a user who made the request (See **Prompt** page 28, paragraph [0319] where customers are linked to products and order details), and the dictionary reference unit outputs the personal information to the predetermined user, and accepts the selection of either of or both management and analysis of the personal data and the common data by the predetermined user from the personal information (See **Prompt** page 15, paragraph [0169] "...a user is permitted to select a schema file

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which has been output from the schema extraction process. As will be illustrated subsequently in the context of a graphical user interface, the user can provide input information so that the first module modifies the definition of the schema...”).

10. Regarding claim 8, **Prompt** teaches a database management method to perform a search of data from a database based on predetermined information selected by a user from among information registered as a dictionary, (See page 3, paragraph [0022] “...users can search and/or browse the virtual directory to find the data needed or they can query the directory with simple commands to search for the information needed.”), the database management method comprising:

registering personal information on either of or both management and analysis of the personal data and the common data stored in the database to a personal dictionary that is accessible only by the predetermined user (See page 16, paragraph [0172]), and

outputting the personal information to the predetermined user (See page 15, paragraph [0169] “...a user is permitted to select a schema file which has been output from the schema extraction process...the user can provide input information so that the first module modifies the definition of the schema, by having the fourth module create new schema mapping, that is, where the VDS maps database objects such as tables, columns, attributes, and other entities into LDAP object classes and attributes.”), and accepts a selection of either of or both management and analysis of the personal data and the common data by the predetermined user from the personal information (See page 15, paragraph [0171] “Accordingly, the first module accepts user selection of an

Object from the corresponding schema previously selected. Furthermore, the user may select attributes to retain for each Object, and may define other restrictions.”);

Prompt does not explicitly disclose storing data as personal data [dataviews] in the database storing common data to each user; and

performing a search of data from the personal data and the common data based on the selection accepted by the dictionary reference unit.

However, **O’Flaherty** discloses storing data as personal data [dataviews] in the database storing common data to each user (See O’Flaherty page 2, paragraph [0034] (“One important capability of a database management system is the ability to define a virtual table and save that definition in the database as metadata with a user-defined name. The object formed by this operation is known as ...dataviews.”); and

performing a search of data from a database storing the personal data and the common data based on the selection accepted by the dictionary reference unit.(See O’Flaherty, page 4 paragraph [0056] “Second, unlike systems which execute SQL queries as a series of selections to narrow the data down to the dataview subset, the TERADATA database management system rewrites dataview-based queries to generate the SQL that selects the necessary columns directly from the appropriate base tables.”)

It would have been obvious to one with ordinary skill in the art at the time of the invention to combine the references because both involve using schema and metadata of their respective databases to control access to the data and by including the personal table as disclosed in **O’Flaherty**, the apparatus is capable of being more secure by

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allowing privacy rules to be enforced over the tables (See **O'Flaherty**, page 2, paragraph [0034]). It is for this reason that one of ordinary skill in the art would have been motivated to include storing data as personal data [dataviews] in the database storing common data to each user; wherein the dictionary includes common information on either or both management and analysis of the data to each user as a common dictionary; and performing a search of data from a database storing the personal data and the common data based on the selection accepted by the dictionary reference unit.

11. Regarding claim 15, **Prompt** teaches a computer program for managing a database to perform a search of data from a database based on predetermined information selected by a user from among information registered as a dictionary, (See page 3, paragraph [0022] "...users can search and/or browse the virtual directory to find the data needed or they can query the directory with simple commands to search for the information needed."), the computer program making a computer execute:

registering personal information on either of or both management and analysis of the personal data and the common data stored in the database to a personal dictionary that is accessible only by the predetermined user (See page 16, paragraph [0172]), and

outputting the personal information to the predetermined user (See page 15, paragraph [0169] "...a user is permitted to select a schema file which has been output from the schema extraction process...the user can provide input information so that the first module modifies the definition of the schema, by having the fourth module create new schema mapping, that is, where the VDS maps database objects such as tables,

columns, attributes, and other entities into LDAP object classes and attributes.”), and accepts a selection of either of or both management and analysis of the personal data and the common data by the predetermined user from the personal information (See page 15, paragraph [0171] “Accordingly, the first module accepts user selection of an Object from the corresponding schema previously selected. Furthermore, the user may select attributes to retain for each Object, and may define other restrictions.”);

Prompt does not explicitly disclose storing data as personal data [dataviews] in the database storing common data to each user; and

performing a search of data from the personal data and the common data based on the selection accepted by the dictionary reference unit.

However, **O’Flaherty** discloses storing data as personal data [dataviews] in the database storing common data to each user (See O’Flaherty page 2, paragraph [0034] (“One important capability of a database management system is the ability to define a virtual table and save that definition in the database as metadata with a user-defined name. The object formed by this operation is known as ...dataviews.”); and

performing a search of data from the personal data and the common data based on the selection accepted by the dictionary reference unit.(See O’Flaherty, page 4 paragraph [0056] “Second, unlike systems which execute SQL queries as a series of selections to narrow the data down to the dataview subset, the TERADATA database management system rewrites dataview-based queries to generate the SQL that selects the necessary columns directly from the appropriate base tables.”)

It would have been obvious to one with ordinary skill in the art at the time of the invention to combine the references because both involve using schema and metadata of their respective databases to control access to the data and by including the personal table as disclosed in **O'Flaherty**, the apparatus is capable of being more secure by allowing privacy rules to be enforced over the tables (See **O'Flaherty**, page 2, paragraph [0034]). It is for this reason that one of ordinary skill in the art would have been motivated to include storing data as personal data [dataviews] in the database storing common data to each user; wherein the dictionary includes common information on either or both management and analysis of the data to each user as a common dictionary; and performing a search of data from a database storing the personal data and the common data based on the selection accepted by the dictionary reference unit.

12. Claims 6 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Prompt** in view of **O'Flaherty** as applied to claim 1 above, and further in view of **Scanlon** (5,850,480). The combination of **Prompt** and **O'Flaherty** teaches the dictionary reference unit outputs the information registered in the dictionary including the information on the composite field registered, and accepts the selection of the predetermined information by the user from among the information output (See page 15, paragraph [0169] "...a user is permitted to select a schema file which has been output from the schema extraction process. As will be illustrated subsequently in the context of a graphical user interface, the user can provide input information so that the first module modifies the definition of the schema...")

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The combination of **Prompt** and **O'Flaherty** does not explicitly disclose the personal dictionary registering unit registers, upon the database management apparatus receiving a request to register information on a composite field that is formed by combining a plurality of data fields of the database, the information on the composite field to the personal dictionary that is accessible only by a user who made the request.

However, **Scanlon** teaches the personal dictionary registering unit registers, upon the database management apparatus receiving a request to register information on a composite field that is formed by combining a plurality of data fields of the database, the information on the composite field to the personal dictionary that is accessible only by a user who made the request (See column 5, lines 40-44 "A composite field includes any group of simple fields which are related in that there is a commonality of subject matter of the information contained within such simple fields. Thus, each composite field consists of a plurality of related sub-fields.") It would have been obvious to one with ordinary skill in the art to combine the teachings of **Prompt** and **O'Flaherty** with the composite field teaching of **Scanlon** because of the searching advantage of having the related fields grouped together as discussed in **Scanlon**. It is for this reason that one of ordinary skill in the art would have been motivated to include the personal dictionary registering unit registers, upon the database management apparatus receiving a request to register information on a composite field that is formed by combining a plurality of data fields of the database, the information on the composite field to the personal dictionary that is accessible only by a user who made the request.

Contact Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kimberly Lovel whose telephone number is (571) 272-2750. The examiner can normally be reached on 8:00 - 4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Cottingham can be reached on (571) 272-7079. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Kimberly Lovel
Examiner
Art Unit 2167

20 August 2007
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